ALTAIC AND CHINESE

Loanwords from Chinese are certainly well known in quite a number of Altaic languages, starting with Korean and Japanese that have huge Chinese layers in their vocabulary, and ending with Turkic that can be shown to have borrowed several important lexemes from Chinese already on the Proto-Turkic level. This is of course due to China's great political and cultural influence in historical times.

However, not all Chinese-Altaic lexical matches can be explained by borrowings from Chinese. Now that we possess much better knowledge of Chinese and Sino-Tibetan historical phonology and Sino-Tibetan subclassification¹, it has become possible to identify inherited Sino-Tibetan vocabulary in Chinese and separate it from a large layer of words having no Sino-Tibetan etymology. We also have much more information about adjacent language families, such as Altaic, Austro-Asiatic and Austronesian.

In this paper I shall not discuss lexical contacts between Chinese (Sino-Tibetan) and its Southern neighbours. But I want to draw attention to a large group of lexical matches between Chinese and Altaic that are characterized by the following features:

- 1. The Old Chinese words are usually attested starting with Early Zhou at the earliest (only a few of them are attested later than 6th century B. C.).
- 2. For none of these words any Sino-Tibetan parallels have been proposed.
- 3. On the Altaic side, the words are particularly well represented in the Eastern area (Korean, Japanese and Tungus-Manchu).

¹ Due basically to the works: [Benedict 1972; Shafer 1966–1974; DEZC; Baxter 1992; Старостин 1989; Peiros, Starostin 1996].

Cf. the following cases²:

OC π ćr \bar{u} ? 'claw' : Alt. *č \bar{u} тu (~ -a) 'to scratch, claw' > Kor. *č \bar{u} r 'file'; Jap. *dir- η a- 'scratch, claw'; TM * \bar{z} ur \bar{u} - 'scratch, draw'.

OC 李 *rhə*? 'plum' : Alt. **èrì* 'plum, fruit' > Kor. **ɨrɨm* 'clematis berries, akebi seed'; Jap. **ìtàpi* 'Japanese fig'; Turk. **erük* 'plum, apricot'; Mong. **üril* 'plum'.

OC 兔 *thā(k)s* 'rabbit, hare' : Alt. *t'ðgsu 'hare' > Kor. *thóskí 'hare'; Mong. *togsi- 'run away jumping'; TM *tuksa- 'run; hare'.

OC $\not = k\bar{a}$ 'father's sister, aunt, mother-in-law': Alt. * $\check{e}k'\hat{a}$ 'elder sister, mother' > Kor. *kja- 'woman'; Jap. *kaka 'mother'; Turk. *eke 'elder sister'; Mong. *eke 'mother'; TM *eKe 'woman; elder sister'.

OC 芥 *krēts* 'mustard' [LZ] : Alt. **kabro*(-č*V*) 'a k. of ferment' > Kor. **kòr-'àčí* 'wine fungus'; Jap. **kara-* 'bitter', **karasi* 'mustard'; Turk. **Kor-* 'ferment; bitter'; Mong. **kowr* 'poison'.

OC 客 khrāk 'guest': Alt. *kàrā(-k'V) (~ k'-) 'opposite, enemy' > Jap. *kàtà-ki 'enemy'; Turk. *Kar- 'opposite', *Karak 'bandit'; Mong. *kar- 'foreign, alien'.

OC 盆 $bh\bar{\nu}$ 'tub': Alt. * $p\dot{\nu}$ 'vessel; boat' > Kor. * $p\dot{a}$ 'boat'; Jap. * $p\dot{\mu}$ 'boat, vessel'; Mong. *haji- 'ship'.

OC 軌 kru? 'wheel-axle ends' : Ālt. *kiúŕu '(wheeled) vehicle' > Jap. *kúrúmá 'vehicle'; Mong. *kür-dün 'wheel'.

OC 凍 tōŋs 'to freeze up' [LZ]: Alt. *tuŋa 'cold, frost' > Jap. *tumeta- 'cold'; Turk. *doŋ 'cold, freeze'; Mong. *dayara- 'freeze'; TM *doŋota 'cold, frost, freeze'³.

OC 祖 *ćā?* 'deceased grandfather, ancestor': Alt. *ǎčV 'elder relative, ancestor' > Kor. *àčā- 'aunt, uncle'; Turk. *ǎčaj / *ěčej 'elder relative'.

OC 梅 mō 'Japanese apricot, plum' : Alt. *iúmu 'a k. of fruit or berry' > Jap. *úmái 'plum'; OT imiti 'hawthorn'; TM *uma-kta 'brier, cornel'.

OC 粒 rəp 'cereals, grain as food': Alt. *àrp'á 'barley, millet' > Jap. *àpá 'millet'; Turk. *arpa 'barley'; Mong. *arbaj 'barley'; Manchu arfa 'barley'.

² The Old Chinese forms are given according to the reconstruction in [Старостин 1989]; the Proto-Altaic as well as Korean, Japanese, Turkic and Tungus-Manchu forms are quoted from [EDAL]. While dealing with each individual entry we omit much of the etymological discussion, referring the reader to the corresponding sections in [EDAL].

³ Jap. *tumeta-* is attributed in [EDAL] to Proto -Altaic **túmu* 'cold, snot'; phonetically, however, it is ambiguous and may well reflect Proto -Altaic **tuŋa* (cf. especially Tungus-Manchu **doŋota* with similar suffixation).

- OC 麥 mrāk 'wheat, barley': Alt. *mi̯urgu 'wheat' > Kor. *mirh 'wheat'; Jap. *mùnki 'wheat, barley'; TM *murgi 'barley'.
- OC 惑 wāk 'to deceive, err' : Alt. *uk'e 'stupid' > OJ wokwo 'stupid'; Mong. *(h)üki 'stupid'; Manchu uxu-ken 'stupid'.
- OC 棣 *lhōj-s* 'wild plum' : Alt. *ójle (~ -i) 'small fruit' > Kor. *òijós 'plum'; Mong. *ölir 'wild apple'; TM *ulīn-(kta) 'wild apple'.
- OC 琴 *ghəm* 'a musical instrument with 7 strings': Alt. *kúma > Kor. *kèmínkó 'a Korean harp of 7 strings'; Turk. *Komuŕ 'musical instrument'; TM *kumu-n 'music'.
- OC $\equiv tr\bar{a}k^w$ -s 'basket for covering and thus catching fish': Alt. *t'\delta bru(-k'V) 'net' > Kor. *t\delta r\delta \delta t\delta basket'; Jap. *t\delta r\delta fishing'; Turk. *tor 'net'; Mong. *towr 'net'; TM *turku- 'to get caught (in a trap, net)'.
- OC 農 nūŋ 'agriculture; peasant, farmer': Alt. *niàŋu / *niàŋu 'field' > Kor. *nón 'rice field'; Jap. *nùa 'field'; Turk. *(i)anɨr 'stubble-field'; Mong. *nuntug 'grazing place'; TM *nuni / *noni 'place of hunting'.
- OC 過 $k^w \bar{a}j$ -s 'to pass, pass over' : Alt. * $k \bar{e} j u$ id. > Jap. * $k u \bar{a} j a c$; Chuv. $k \bar{a} j c$ 'go away'; TM * $k \bar{e} j c$.
- OC 賓 pin 'visitor, guest': Alt. *p jiŭŋi 'other, foreign' > Jap. *pina 'province, barbarians'; Turk. *öŋi 'other'; TM *puŋte 'other, someone else's'.
- OC 劍 kam-s 'sword' : Alt. *k'èmá 'sharp, sharp tool' > Jap. *kàmá 'sickle'; TM *xemer 'sharp'.
- OC 敵 dhēk 'enemy; enmity': Alt. *dằgì 'enemy' > Kor. *tōi 'barbarian'; Jap. *(d)ìkù-sà 'war, warrior'; Turk. *jagi 'enemy, war'; Mong. *dajin 'war'; TM *dagu-r 'friend'.
- OC 稷 cək 'non-glutinous variety of broom-corn millet': Alt. *ǯiúgi 'millet' > Kor. *čòh 'millet'; Turk. *jügür- 'millet'; TM *ǯija- / *ǯije- (~ -g-) 'millet'.
- OC 縣 $g^w\bar{e}n$ -s 'district' [LZ]: Alt. *kińne 'people, country' > Jap. *kúní 'country'; Turk. *Kün 'people'; Mong. *küyün 'person'; TM *kün- 'clan (name)'.
- OC 散 $k(l)\bar{o}k$ 'nave of wheel' : Alt. * $k\hat{o}l\hat{b}\hat{e}kV$ 'wheel hub' > Jap. * $k\hat{o}s\hat{i}ki$ 'wheel hub'; Turk. *Kol-luk 'arrow hub'; Mong. * $kolkib\check{c}i$ 'hub'; Ewk. $kulbuk\bar{a}$ 'hub'.
- OC 麒麟 $g(h)\partial r(h)\partial n$ 'unicorn' (cf. also 麋 krun 'waterdeer'): Alt. *gúri(-nV) 'deer, game' > Kor. *kòrání 'deer, elk'; Mong. *görüye- 'antelope, game'; TM (?) *gurna- 'ermine; squirrel'.
- OC 芣苡 bhə-lə? 'plantain' : Ālt. *biola > Kor. *pùrò 'salad, Lactuca'; Jap. *bàrà(m)pì 'fern'; Turk. *bạlgɨn 'viburnum'; Mong. *bal- 'heracleum, angelica'; TM *boloka 'spiraea'.

OC 旆 $b(h)\bar{a}t$ -s 'streamer' : Alt. *pắdà 'flag, standard' > Jap. *pátà 'flag, banner'; Turk. *bAd-rak 'banner, flag'; Mong. *bad- 'flag, standard'.

OC $\Re \eta(h)\bar{a}n$ -s 'wild dog' : Alt. * $\eta \dot{n} do$ 'dog' > Jap. * $in\acute{u}$; Turk. *it / *it; TM * $\eta inda$ -.

OC 筥 kra? 'round basket' : Alt. *k'ùre 'basket' > Kor. *kórí; Jap. *kuà; Turk. *Küri-; TM *xurid- 'vessel for berries'.

OC 緄 kūn? 'cord, string' : Alt. *k jùní > Kor. *kính 'string, tassel'; Jap. *kìnú 'silk, cloth'; Turk. *köjŋe-lek 'shirt'; Mong. *kejeŋ 'edge of cloth'.

OC 橛 ghwat 'horse-bit' [Han] : Alt. *kádù 'bridle' > Kor. *kùr'ới; Jap. *kútúwá; Mong. *kada-; TM *kadala / *kadara.

OC 獮 snhar? 'autumnal hunt': Alt. *sŏnu 'dog hunt' > Kor. *sànhằiŋ 'hunting'; Jap. *sùnà-tər- 'to fish' (< *'hunt'); Turk. *sonar 'dog hunting'; TM *suna 'dog rope'.

OC 馘 $k^w r \bar{o}k$ 'cut-off ears of slain enemies, ear-tokens': Alt. $*k' \bar{u} j l u (-kV)$ 'ear' > Kor. $*k \acute{u}i$ 'ear'; Jap. $*k \acute{\iota}-k$ 'hear'; Turk. *K u l - k a k 'ear'; Mong. *k u l k i 'ear-wax; middle ear'; TM $*x \bar{u}l$ - 'to sound'.

OC 則 $\eta(h)$ rets 'to cut off the nose': Alt. * η īakča 'nose' > Kor. *nàčh 'face'; Mong. *nagčar-kai 'back of nose'; TM * η iaksa 'nose'.

OC 襟 krəm 'overlap of a robe' : Alt. *kúro(mV) > Kor. *korom 'clothes string, lace'; Jap. *kórómó 'clothes'; Turk. *Kur 'belt'; Mong. *kormaj 'lap, skirt'; TM *kurumV 'a k. of upper clothes'.

On the whole, the most plausible solution seems to be to suggest a layer of early Altaic loanwords in Old Chinese, suggesting rather early lexical contacts. not later than 2d millennium B.C. We should note that at least some of them (including Japanese matches) had been known before, but usually treated as early Chinese borrowings in Japanese (e. g. OJ karasi 'mustard', ume 'plum', mugji 'wheat', kuni 'country', koromo 'clothes' — see, for instance, [Miller 1972: 203, 235]): a more detailed etymological analysis now allows to revert the direction of borrowing.

The presented analysis can have a number of important implications for untangling the extremely difficult prehistoric situation in China and East Asia.

⁴ Only the reconstruction *čamu is given in [EDAL]; however, *ti̯amu is not excluded given the absence of the Korean reflex, crucial in this case.